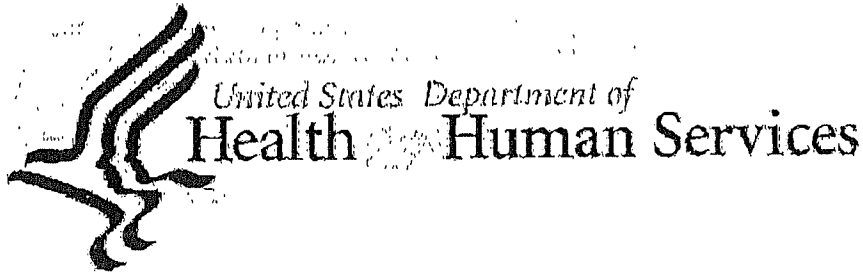


Confidential

PROGRAM SUPPORT CENTER
FINANCIAL MANAGEMENT SERVICE
DIVISION OF COST ALLOCATION
CENTRAL STATES FIELD OFFICE
Dallas, Texas

**FAX TRANSMISSION**

To: Jeff Tally, Manager of Grant and Contracting Accounting
618-453-5340

From: Shon Turner 214-767-3267

Fax: 618-453-5340

Number Of Pages: 5

Phone: 618-536-2610

Date:

Re: Rate Agreement

CC:

Urgent For Review Please Comment Please Reply Please Recycle

• Comments:

If you have any problems with the legibility of any part of the agreement, please contact Shon Turner at 214-767-3267.

Please fax back only the signed page of the agreement to fax number 214-767-3264. A fax cover sheet is not required.

THANK YOU.

Confidential



DEPARTMENT OF HEALTH & HUMAN SERVICES

Program Support Center
Financial Management Service
Division of Cost Allocation

Room 732
1301 Young Street
Dallas, TX 75202
PHONE: (214) 767-3261
FAX: (214) 767-3264

May 4, 2011

Mr. John A. Koropchak
Vice Chancellor for Research & Graduate Dean
Southern Illinois University at Carbondale
Mail Code 4344
Carbondale, IL 62901-4716

Dear Mr. Koropchak:

The original and one copy of a facilities and administrative cost and fringe benefit rate agreement are enclosed. This agreement reflects an understanding reached between your organization and a member of my staff concerning the fringe benefit rate(s) that may be used to support your claim for indirect costs on grants and contracts with the Federal Government.

Please have the original signed by an authorized representative of your organization and fax it to me, retaining the copy for your files. Our fax number is (214) 767-3264. We will reproduce and distribute the agreement to the appropriate awarding organizations of the Federal Government for their use.

The fixed fringe benefit rates for the fiscal year ending June 30, 2011 are based on actual costs for the fiscal year ending June 30, 2009. They include the following Under-recovery (-) or Over-recovery (+) carry forward amounts costs.

Regular Faculty and Academic Staff (Under-recovery)	-	(\$6,530,056)
Regular faculty with (S/W) over \$200,000 (Under-recovery)	-	(\$351,145)
Retired Employees	-	(0)
Extra Help	-	(0)

The fixed rates(s) for fiscal year ended June, 2010 is considered final.

The fixed fringe benefit rates for the fiscal year ending June 30, 2012 are based on actual costs for the fiscal year ending June 30, 2010. They include the following Under-recovery (-) or Over-recovery (+) carry forward amounts costs.

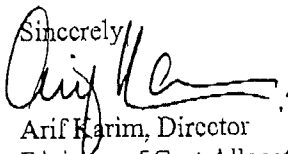
Regular Faculty and Academic Staff (Under-recovery)	-	(\$17,720,767)
Regular faculty with (S/W) over \$200,000 (Under-recovery)	-	(\$1,478,423)
Retired Employees (Over-recovery)	-	(163)
Extra Help (Over-recovery)	-	(4,146)

The fixed rates(s) for fiscal year ended June, 2011 is considered final.

Mr. John A. Koropchak
May 4, 2011
Page 2

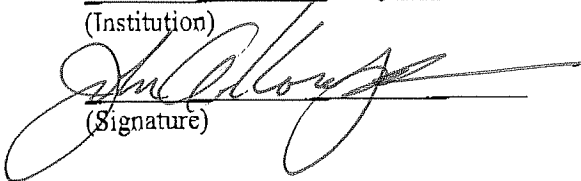
A facilities and administrative cost proposal, together with the supporting information, is required to substantiate your claim for indirect costs under grants and contracts awarded by the Federal Government. A fringe benefit rate proposal is required to be submitted annually. Thus your next fringe benefit proposal based on actual costs the fiscal year ending June 30, 2011, is due in our office by December 31, 2011. Your next indirect cost rate proposal based on actual costs for the fiscal year ending June 30, 2011 is due in our office by December 31, 2011.

Since this is an integral part of the Negotiation Agreement, please note your acceptance by signing in the space provided below.

Sincerely

Arif Karim, Director
Division of Cost Allocations
Central States Field Office

Enclosures
ACCEPTANCE:

Southern Illinois University at Carbondale
(Institution)


(Signature)

Office of Vice Chancellor for Research and Graduate Dean
(Title)

John A. Koropchak
(Name)

5/25/2011
(Date)

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1376005961A1

DATE: 05/04/2011

ORGANIZATION:

Southern Illinois University at
CarbondaleFILING REF.: The preceding
agreement was dated
06/11/2010

Mail Code 4344

Carbondale, IL 62901-4716

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

RATE TYPES:	FIXED	FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)	
<u>EFFECTIVE PERIOD</u>					
<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2008	06/30/2012	45.50	On Campus	Organized Research
PRED.	07/01/2008	06/30/2012	45.50	On Campus	Instruction
PRED.	07/01/2008	06/30/2012	31.00	On Campus	Other Sponsored Act
PRED.	07/01/2008	06/30/2012	26.00	Off Campus	All Programs
PROV.	07/01/2012	Until Amended		"Use same rates and conditions as cited for FYE 6/30/12."	

*BASE

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

ORGANIZATION: Southern Illinois University at Carbondale
 AGREEMENT DATE: 05/04/2011

SECTION I: FRINGE BENEFIT RATES**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FINAL	7/1/2008	6/30/2010	32.00	All	Faculty & Staff
FINAL	7/1/2008	6/30/2010	31.00	All	Faculty/SW/0/ 200,000
FIXED	7/1/2010	6/30/2011	34.00	All	Faculty & Staff
FIXED	7/1/2010	6/30/2011	33.00	All	Fac/SW/0/Staff
FIXED	7/1/2010	6/30/2011	1.50	All	Retired Employees
FIXED	7/1/2010	6/30/2011	7.70	All	Extra-Help Employees
FIXED	7/1/2011	6/30/2012	38.00	All	Faculty & Staff
FIXED	7/1/2011	6/30/2012	39.00	All	Faculty/SW/0/ 200,000
FIXED	7/1/2011	6/30/2012	1.50	All	Retired Employees
FIXED	7/1/2011	6/30/2012	7.70	All	Extra Help Employees
PROV.	7/1/2012	Until amended			"Use same rates and conditions as cited for FYE 6/30/12."

** DESCRIPTION OF FRINGE BENEFITS RATE BASE:
 Salaries and wages.

ORGANIZATION: Southern Illinois University at Carbondale
AGREEMENT DATE: 05/04/2011

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s) the off-campus rate will apply. Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

Equipment Definition -

Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

FRINGE BENEFITS:

FICA

Retirement

Worker's Compensation

Life Insurance

Unemployment Insurance

Health Insurance

Dental Insurance

Vision Insurance